UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD SAN FRANCISCO DIVISION OF JUDGES

KSL CLAREMONT RESORT, INC. d/b/a CLAREMONT RESORT AND SPA

and

32-CA-20417 32-CA-20433

HOTEL EMPLOYEES AND RESTAURANT EMPLOYEES UNION, LOCAL 2850, HOTEL EMPLOYEES AND RESTAURANT EMPLOYEES INTERNATIONAL UNION, AFL-CIO

Thomas Bell, Esq., of Oakland, California, for the General Counsel
 Patrick Jordan, Esq., Jordan Law Group, of San Rafael, California, for the Respondent
 Timothy Sears, Esq., Davis, Cowell & Bowe, of San Francisco, California, for the Union

DECISION

Statement of the Case

Mary Miller Cracraft, Administrative Law Judge. At issue is whether KSL Claremont Resort, Inc. d/b/a Claremont Resort and Spa (Respondent) violated Section 8(a)(1) and (5) of the National Labor Relations Act, 29 U.S.C. §158(a)(1) and (5),¹ by refusing to recognize and deal with a designated representative of Hotel Employees and Restaurant Employees Union, Local 2850, Hotel Employees and Restaurant Employees International Union, AFL-CIO (the Union). A further issue is whether Respondent interfered with, restrained and coerced its employees in violation of Section 8(a)(1) of the Act by maintaining a rule which prohibiting negative conversations about other employees and management.²

¹ Sec. 8(a)(5) provides, in relevant part, that an employer must bargain in good faith with the representatives of its employees, regarding wages, hours, and terms and conditions of employment. Sec. 8(a)(1) provides that an employer may not interfere with, restrain, or coerce employees in the exercise of their Sec. 7 rights: "to self-organization, to form, join, or assist labor organizations, to bargain collectively through representatives of their own choosing, and to engage in other concerted activities for the purpose of collective bargaining or other mutual aid or protection" as well as the right to refrain from any such activities.

² All dates are in 2003 unless otherwise referenced. The charge in Case 32-CA-20417 was filed by the Union on March 5. The charge in Case 32-CA-20433 was filed by the Union on March 12. Consolidated complaint issued on May 16. Trial took place in Oakland, California on September 4 and 5.

On the entire record, including my observation of the demeanor of the witnesses,³ and after considering the briefs filed by counsel for the General Counsel and for the Respondent, I make the following

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Findings of Fact

I. Jurisdiction and Labor Organization Status

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Respondent, a California corporation with an office and place of business in Berkeley, California, is engaged in the operation of a hotel and spa. During the twelve-month period ending May 16, Respondent derived gross revenues in excess of \$500,000 and purchased and received goods and materials valued in excess of \$5000 which originated from outside the State of California. Respondent admits and I find that it is an employer engaged in commerce within the meaning of Section 2(2), (6), and (7) of the Act. Respondent admits and I find that the Union is a labor organization within the meaning of Section 2(5) of the Act.

II. Background

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Respondent is a world-class 22-acre urban luxury resort hotel with an extensive spa and club and a variety of dining facilities. The spa alone occupies 20,000 square feet and contains 35 treatment rooms. Respondent essentially sells luxury and pampering services and a sense of relaxation in a hassle-free environment. In order to enhance this experience, appropriate employee behavior is explicitly detailed in a 70-page section of the employee handbook.⁴

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On April 21, 1998, Respondent and the Union⁵ executed a collective-bargaining agreement covering an appropriate unit of approximately 135 food and beverage service employees.⁶ On September 15, 2001, this agreement expired. At the time of trial, no replacement agreement had been reached although since September 2001, the parties had engaged in about 20 negotiation sessions for a successor contract. The Union also represents about 65 housekeeping and front desk employees pursuant to a separate contract. In late 2001,

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³ Credibility resolutions have been made based upon witness demeanor, the weight of respective evidence, established or omitted facts, apparent probability, and reasonable inferences drawn from the record as a whole. Testimony contrary to my findings has been discredited on some occasions because it was in conflict with credited testimony or documents or because it was inherently incredible and unworthy of belief.

⁴ The facts set forth in this paragraph were administratively noticed at the request of the parties. See decision of Judge Gerald H. Wacknov, *Claremont Resort and Spa*, JD(SF)-39-03 (June 6, 2003).

⁵ Respondent denies that the Union is the designated exclusive collective-bargaining representative of the food and beverage service employees and denies that the Union has been recognized as such representative. I find, nevertheless, that Respondent has dealt with the Union as the exclusive collective-bargaining representative of these employees and entered into a collective-bargaining agreement recognizing the Union as the sole representative of the employees. Respondent presented no evidence to the contrary. Accordingly, I find the allegations of the complaint proven.

⁶ Although Respondent denies the appropriateness of the collective-bargaining unit, I find that by entering into this agreement with the Union, Respondent agreed that the unit was appropriate for collective bargaining within the meaning of Section 9(a) of the Act.

the Union began an organizational campaign involving approximately 130 spa employees. At the time of trial, this campaign was continuing.

III. Refusal to Deal with Union Representative

The Refusal

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On January 9, the Union designated Leslie Fitzgerald, one of their staff representatives, to deal with Respondent in negotiations and grievances. On January 14, Respondent refused to deal with Fitzgerald "regarding labor relations, grievances or other union matters." Respondent noted that it would be pleased to continue dealing with Stephanie Ruby, secretary-treasurer of the union; lead negotiator Mike Casey, chief executive officer of Local 2 in San Francisco; Wei-Ling Huber, vice president; and Liz Perlman, union representative and organizer; all of whom had participated in prior negotiations and were familiar with the terms of the current and expired contracts.

Respondent's Reason for the Refusal

Fitzgerald worked in Respondent's spa from 1994 until October 2002. Throughout this time, Fitzgerald worked as a massage therapist, body treatment technician, attendant, and reservations agent. Since about January 2002, Fitzgerald was an open and active Union proponent in the spa organizing effort and a member of the employee organizing committee.

There is no dispute that on October 5, 2002, Fitzgerald and other employees gathered in support of spa employee Kalsang Dolma, who wanted to rescind her resignation. Fitzgerald attempted to push past security guard Cooper in order to enter spa manager llene Dickson's office, where Dolma was meeting with Dickson, even though Fitzgerald knew that Dickson did not want Fitzgerald to enter the office and Dickson told Fitzgerald repeatedly that she was not welcome. There is no dispute that when Fitzgerald attempted to enter the office, Fitzgerald's and Cooper's shoulders collided. Neither was injured or lost their balance. There is also no dispute that Fitzgerald used profanity during the incident and that she was insubordinate in refusing to follow Dickson's directive not to enter Dickson's office.

General Manager Todd Shallan suspended Fitzgerald on October 8, 2002, and discharged Fitzgerald on October 23, 2002. Shallan discharged Fitzgerald based on written and oral first-hand reports received from Dickson, front of the house manager Jadd Elkeshen, security guard Reginald Cooper, and security director Robert Hand, as well as his review of notes taken during human resources director Suzy de Sousa's subsequent interview of Fitzgerald and his discussion with de Sousa about that interview. Specifically, Shallan discharged Fitzgerald for egregious conduct in violation of the standards of conduct (attempted bodily injury to security guard Reginald Cooper, publicly embarrassing behavior during an

⁷ According to Fitzgerald and other Union negotiators, Fitzgerald attended a negotiating session on January 9. She arrived at about 1:30 p.m., when the lunch break ended, and did not speak and was not engaged in the negotiation process. She left at 2:00 or 2:15 p.m. At the end of bargaining that day, the chief negotiator for Respondent said that just because nothing had been said about Fitzgerald's presence did not mean that Respondent agreed she could be there.

⁸ Standard of Conduct 1 sets forth unacceptable conduct as follows: "Fighting with or attempting bodily injury to another, threatening, intimidating, coercing or interfering with anyone connected with the Company or its business."

encounter with spa manager Dickson,⁹ profanity addressed to Dickson during the same incident,¹⁰ insubordination in refusing to follow Dickson's directions,¹¹ and leaving her work without permission¹²) and secondarily for Fitzgerald's refusal to take any responsibility for the incident or to show any remorse or acknowledgement that her behavior was wrong.

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Following her discharge, Fitzgerald, who was already a part-time organizer for the Union, became a full-time Union organizer and representative. The Union filed an unfair labor practice charge regarding Fitzgerald's suspension and discharge. It was dismissed for insufficient evidence on December 19, 2002. The Union's appeal was denied on February 11 because the investigation failed to establish that Fitzgerald was disciplined for conduct protected by Section 7 of the Act.¹³

Analysis

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In general, both unions and employers must bargain in good faith with the representatives chosen by the other party. However, when the presence of a representative of one party will create ill will and render good-faith negotiations impossible, the other party is justified in refusing to meet with that representative. *King Soopers*, 338 NLRB No. 30, slip opinion at 1 (Sept. 30, 2002), quoting *KDEN Broadcasting Co.*, 225 NLRB 25, 35 (1976).

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Discharged employees may not be excluded from negotiations simply because they no longer work for the employer. See, *Caribe Staple Co.*, 313 NLRB 877, 889 (1994), citing *Vibra-Screw, Inc.*, 301 NLRB 371 (1991); *Colfor, Inc.*, 282 NLRB 1173 (1987), enfd. 838 F.2d 164 (6th Cir. 1988), overruled in part on other grounds, *Van Dorn Plastic Machinery Co.*, 300 NLRB 278 (1990). However, a discharged employee who, without provocation, physically assaulted the personnel director at the beginning of a grievance proceeding was held properly excluded from negotiations. See, *Fitzsimmons Mfg Co.*, 251 NLRB 375, 379 (1980), enfd. sub nom. *UAW v. NLRB*, 670 F.2d 663 (6th Cir. 1982). Similarly, in *King Soopers, supra*, a former employee was properly excluded because in response to a minor scheduling matter, he threw a meat hook narrowly missing an employee; threw a 40-pound piece of meat into a saw, breaking the saw's blade; threw his knife into a box; threatened a supervisor; and refused to leave when ordered to do so.

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⁹ Standard of Conduct 5 states, in relevant part, that it is unacceptable to engage in, "Unethical, immoral or indecent behavior, or behavior that publicly embarrasses the Company."

¹⁰ Standard of Conduct 8 makes it unacceptable to use "profane, discourteous, abusive or rude language or action against another employee, supervisor, manager, guest or to others."

¹¹ Standard of Conduct 7 states, in pertinent part, "Insubordination is defined as willful disregard or disrespect toward a supervisor or representative of management or failure to comply or perform work as required or assigned."

¹² Standard of Conduct 14 states, in part, that it is unacceptable to, "take an unauthorized break or otherwise leav[e] the job without permission." The uncontroverted evidence herein is that Fitzgerald's work ended prior to the incident leading to her discharge.

¹³ Specifically, the appeal was dismissed because, It could not be concluded that Ms. Fitzgerald's insistence on meeting with a manager was protected conduct, particularly where Ms. Fitzgerald was informed that the manager did not want to meet with her. Further, there was insufficient evidence to establish that the Employer treated Ms. Fitzgerald in a disparate manner from other employees when it suspended and subsequently terminated her.

However, the facts herein are more similar to those in *Long Island Jewish Hillside Community Center*, 296 NLRB 51 (1989). In that case a discharged employee was improperly excluded from negotiations. His actions in pushing and nudging another employee and uttering obscenities, although not to be condoned, were provoked. The Board held that the employee's presence at negotiations would not create ill will and make good faith bargaining impossible. Although Fitzgerald's actions were ill advised and, given Respondent's code of conduct, certainly would not be acceptable in relation to customers of Respondent, the actions upon which Respondent relied to discharge her do not persuade me that Fitzgerald's presence at negotiation would create ill-will or make good faith bargaining impossible.

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The standards for behavior in negotiations are much different than the standards of conduct for an employee in a luxury hotel. Indeed, Respondent concedes this point when it stated at the hearing that as long as negotiations were held offsite, it would not be a problem to deal with Fitzgerald in negotiations. There is no dispute that although negotiations occur in Respondent's conference rooms, hotel and spa guests are not impacted by these negotiations. There is also no dispute that the 20-negotation sessions thus concluded have been heated at times. Thus, to the extent a negotiator becomes visibly upset, shaking, or out of control, behavior attributed to Fitzgerald by Respondent's managers in their memoranda to Shallan, negotiations, in general, have been known to accommodate such behavior. Negotiations may also accommodate some profanity. To the extent that Fitzgerald attempted to push past security guard Cooper and their shoulders collided, this does not impress me as "violent" behavior or behavior that would reasonably put others in a position of fear of Fitzgerald.

Moreover, Fitzgerald was employed by Respondent for approximately eight years prior to the October 5, 2002, incident. There is no other evidence of misbehavior on her part. Additionally, because spa employees are not represented by the Union, the incident of October 5, 2002, was unrelated to negotiations or contract administration. No one on Respondent's negotiating team was involved in the incident.

Finally, although Fitzgerald's actions on October 5, 2002, were unprovoked by Respondent, and although the issue of Dolma's resignation was viewed as a major issue by her friends and coworkers, in the general scheme of labor relations, such an issue is relatively minor. Thus, it must be concluded that Fitzgerald, in fact, overreacted to Dickson's refusal to allow her to be included in Dolma's resignation interview. However, these actions alone do not convince me that Fitzgerald's presence at negotiations, or on Respondent's property, would lead to ill will or make good faith bargaining impossible.

IV. Motion to Strike Respondent's Erratum to Post-Hearing Brief

On October 27, Respondent filed an Erratum to its post-hearing brief. This erratum contained arguments and authorities not previously included in Respondent's initial, timely brief. General Counsel seeks to strike the Erratum pursuant to Rule 102.111(b) and (c).

Respondent opposes the motion to strike stating that portions of the brief contained in the Erratum were prepared prior to the filing deadline but accidentally omitted from the initial brief. Respondent also notes that no additional substantive conclusion was added to the brief. Rather, Respondent asserts that the heading, "Conclusion," was merely inserted with no additional argument.

The lawfulness of the February memorandum and the effectiveness of the May repudiation were not briefed in the initial brief but were added in the Erratum. Further, the conclusion section was added in the Erratum although some of the language in the conclusion was present in the initial brief.

General Counsel's motion to strike is granted. Pursuant to Rule 102.111(c) briefs must be filed within "a reasonable time" following the deadline "only upon good cause shown based on excusable neglect." Any party seeking to invoke this rule, must file a motion stating the ground of "excusable neglect" upon which it relies for requesting permission to file untimely. Respondent did not do this but sought to circumvent the rule by denominating its supplemental argument as an Erratum rather than an untimely filing. However, an erratum is appropriate for correcting misstatements or citations – not for adding addition argument, which is what Respondent's Erratum contains.

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In *Elevator Constructors Local 2 (United Elevator Services Co.)*, 337 NLRB No. 55 (March 18, 2002), the Board overruled *Postal Service*, 309 NLRB 305 (1992), and held that "excusable neglect" would be analyzed pursuant to *Pioneer Investment Services Co. v. Brunswick Associates Ltd. Partnership*, 507 U.S. 380 (1993). The Board also took this opportunity to announce that henceforth strict compliance with Rule 102.111(c)'s requirement that specific facts be set forth with the motion to allow late filing. Accordingly, because no basis for "excusable neglect" was set forth with the Erratum, General Counsel's motion to strike is granted.

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Had Respondent set forth the facts which it proffers in its opposition to the motion to strike, accidental omission, this would also be an insufficient basis for allowing a late filing. As *Elevator Constructors, supra,* makes clear, "excusable neglect" requires extenuating circumstances rather than a mistake.

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V. Rule Prohibiting "Negative Conversations"

On February 7, Respondent issued a "Top Ten List" to spa employees containing the following statement: "Negative conversations about associates and/or managers are in violation of our Standards of Conduct that may result in disciplinary action." On May 5, Respondent issued a statement acknowledging that the "negative conversation" rule was the subject of unfair labor practice proceedings. Respondent set forth employee Section 7 rights and continued.

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We wish to make it clear that our suggestion concerning negative conversations was limited to personal attacks unrelated to business considerations or issues and that we fully recognize and have repeatedly acknowledged your right to discuss Union matters at times and in circumstances that are consistent with our lawful no solicitation policies.

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The memorandum does not admit any wrongdoing and does not assure employees that Respondent will refrain from committing future unfair labor practices.

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As Counsel for the General Counsel notes, *Lafayette Park Hotel*, 326 NLRB 824, 828 (1998), enfd. 203 F.3d 52 (D.C. Cir. 1999), held unlawful an unenforced rule which prohibited making false, vicious, profane, or malicious statements toward or concerning the employer of any of its employees. General Counsel seeks to distinguish *Tradesmen International*, 338 NLRB No. 49 (2002), in which the Board held lawful an employer rule

prohibiting disloyal, disruptive, competitive, or damaging conduct and slanderous statements or statements detrimental to the employer or its employees. General Counsel notes that in *Tradesmen International*, the rule specifically set forth examples of violative conduct, none of which were examples of conduct protected by Section 7. Secondly, General Counsel notes the rule in *Tradesmen International* was not issued in response to a union organizing drive.

Finally, General Counsel notes that Respondent's rule was implemented in the aftermath of multiple violations of Section 8(a)(1). In this regard, General Counsel cites *Claremont Resort and Spa*, JD(SF)-93-02 (November 25, 2002). ¹⁴ In that case, Judge Clifford H. Anderson found, among other things, that Respondent unlawfully informed employees that they could not talk to other employees about organizing activity when they were at work on the clock. Judge Anderson's decision is pending at the Board on exceptions.

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Although a panel majority held that the rule in *Tradesmen International, supra,* was lawful, in agreement with General Counsel, I find the facts in *Tradesmen International* are distinguishable. As General Counsel notes, the employer therein provided examples of conduct which would violate its rule. Moreover, the employer in *Tradesmen International* clarified any potential ambiguities in its rule by providing examples. Finally, the rule at issue in *Tradesmen International* involved disloyalty. It did not specify that conversations alone might violate the rule. Respondent's rule, on the other hand, specifically prohibits conversations about associates or managers which are negative in tone. No examples are provided.

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In *Lafayette Park Hotel*, 326 NLRB 824 (1998), enfd. 203 F.3d 52 (D.C. Cir. 1999), the employer maintained a rule prohibiting making "false, vicious, profane or malicious statements toward or concerning [the hotel] or any of its employees." Relying on *Cincinnati Suburban Press*, 289 NLRB 966, 975 (1988) and *American Cast Iron Pipe Co.*, 234 NLRB 1126 (1978), enfd. 600 F.2d 132 (8th Cir. 1979), the Board held that the rule reasonably tended to chill employee exercise of Section 7 rights. There is virtually no distinction between the rule in *Lafayette Park* and the one maintained by Respondent. Noting that any ambiguities must be construed against Respondent, ¹⁵ I find that Respondent violated Section 8(a)(1) by maintaining the rule.

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Moreover, as counsel for the General Counsel notes, Respondent's attempt to remedy the rule falls short of the requirements of *Passavant Memorial Hospital*, 237 NLRB 138, 139 (1978). Thus, because the May 5 clarification of the February 7 rule was untimely, did not unambiguously admit wrongdoing, and did not assure employees that Respondent would not interfere with employees' Section 7 rights in the future, the attempted repudiation fails.

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¹⁴ Administrative notice is taken of this decision at the parties' request.

¹⁵ Lafayette Park Hotel, supra, 326 NLRB 824 at n. 1.

CONCLUSIONS OF LAW

- 1. Respondent violated Section 8(a)(1) and (5) of the Act by failing to recognize or deal with Leslie Fitzgerald as a union representative for unit employees and by denying her access to the facility in order to perform her collective-bargaining duties.
 - 2. Respondent violated Section 8(a)(1) of the Act by maintaining a rule prohibiting negative conversations about employees and/or managers.

REMEDY

Having found that Respondent violated Section 8(a)(1) and (5) by failing to recognize or deal with Leslie Fitzgerald as a union representative for unit employees and denying her access to the facility in order to perform her collective-bargaining duties, I shall recommend that Respondent be ordered to cease and desist and to affirmatively recognize and deal with Fitzgerald as a union representative for unit employees. Having found that Respondent violated Section 8(a)(1) by maintaining a rule prohibiting negative conversations about employees and/or managers, I shall recommend that Respondent be ordered to rescind this rule.

On these findings of facts and conclusions of law and on the entire record, I issue the following recommended¹⁶

ORDER

Respondent, KSL Claremont Resort, Inc. d/b/a Claremont Resort and Spa, Berkeley, California, its officers, agents, successors, and assigns, shall

Cease and desist from

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- (a) Failing or refusing to recognize or deal with Leslie Fitzgerald as a union representative for the food and beverage service employees and denying her access to the facility in order to perform her collective-bargaining duties.
- (b) Maintaining a rule prohibiting negative conversations about associates and/or managers.
- (c) In any other like or related manner, interfering with, restraining, or coercing employees in the exercise of the rights guaranteed them by Section 7 of the Act.

¹⁶ If no exceptions are filed pursuant to Sec. 102.46 of the Board's Rules and Regulations, the findings, conclusions, and recommended Order shall, as provided in Sec. 102.48 of the Rules, be adopted by the Board and all objections to them shall be deemed waived for all purposes.

	2.	Take t	ke the following affirmative action		
5		(a)	Recognize Fitzgerald as a union representation of the perform her collective-bargain	w her access to the facility in	
10		(b)	Notify the Union, in writing, with 10 da longer has any objection to dealing wido so, on request.		
		(c)	Rescind the rule prohibiting negative associates and/ or managers.	conversations about	
15		(d)	Post on its employee bulletin boards utilized for food and beverage employees copies of the attached Notice to Employees marked "Appendix." Copes of the notice, on forms provided by the Regional Director for Region 32, after being signed by the Respondent's authorized representative, shall be posted by the		
20			Respondent immediately upon receip consecutive days in conspicuous place notices to employees are customarily shall be taken by the Respondent to experience.	t and maintained for 60 ees including all places where posted. Reasonable steps ensure that the notices are	
25			not altered, defaced, or covered by ar		
		(e)	Notify the Regional Director in writing this Order what steps the Responden		
30	Dated: Novem San Fr		, 2003 o, California		
35				Mary Miller Cracraft Administrative Law Judge	
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 ¹⁷ If this Order is enforced by a judgment of a United States court of appeals, the words in the notice reading "Posted by Order of the National Labor Relations Board" shall read "Posted Pursuant to a Judgment of the United States Court of Appeals Enforcing an Order of the National Labor Relations Board."

APPENDIX

NOTICE TO EMPLOYEES POSTED BY ORDER OF THE NATIONAL LABOR RELATIONS BOARD An Agency of the United States Government

The National Labor Relations Board has found that we violated the National Labor Relations Act and has ordered us to post and abide by this notice.

Federal law gives you the rights to

Form, join, or assist a union Chose representatives to bargain on your behalf Act together with other employees for your benefit and protection Chose not to engage in any of these protected rights

We give you the following assurances:

WE WILL NOT fail or refuse to recognize or deal with Leslie Fitzgerald as a union representative for the food and beverage service employees and WE WILL NOT deny her access to the facility in order to perform her collective-bargaining duties.

WE WILL NOT maintain the following rule, included in our "Top Ten List" distributed to spa employees: "Negative conversations about associates and/or managers are in violation of our Standards of Conduct that may result in disciplinary action."

WE WILL NOT in any like or related manner interfere with, restrain, or coerce you in forming, joining, or assisting a union, choosing a representative to bargain on your behalf, or acting together with other employees for your benefit and protection.

WE WILL recognize and deal with Leslie Fitzgerald as a union representative for food and beverage service employees and that we will grant her access to the facility in order to perform her collective-bargaining duties and WE WILL notify the Union, in writing, of these facts.

WE WILL rescind the rule prohibiting negative conversations about associates and/or managers.

		CLAREMONT RESORT, INC. d/b/a CLAREMONT RESORT AND SPA (Employer)		
		(Employer)		
Dated	By			
		(Representative)	(Title)	

The National Labor Relations Board is an independent Federal agency created in 1935 to enforce the National Labor Relations Act. It conducts secret-ballot elections to determine whether employees want union representation and it investigates and remedies unfair labor practices by employers and unions. To find out more about your rights under the Act and how to file a charge or election petition, you may speak confidentially to any agent with the Board's Regional Office set forth below. You may also obtain information from the Board's website: www.nlrb.gov.

1301 Clay Street, Federal Building, Room 300N, Oakland, CA 94612-5211

(510) 637-3300, Hours: 8:30 a.m. to 5 p.m.

THIS IS AN OFFICIAL NOTICE AND MUST NOT BE DEFACED BY ANYONE

THIS NOTICE MUST REMAIN POSTED FOR 60 CONSECUTIVE DAYS FROM THE DATE OF POSTING AND MUST NOT BE ALTERED, DEFACED, OR COVERED BY ANY OTHER MATERIAL. ANY QUESTIONS CONCERNING THIS NOTICE OR COMPLIANCE WITH ITS PROVISIONS MAY BE DIRECTED TO THE ABOVE REGIONAL OFFICE'S COMPLIANCE OFFICER, (510) 637-3270.